# BEST AVAILABLE COPY

10/27/04 WED 09:25 FAX 847 391 2387

UOP PATENT DPT.

→→→ PTO-CENTRAL-FAX

**2**004

Sheet 1 of 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Complete if Known Application Number 10/804,358 Filing Date March 19, 2004 First Named Inventor Ralph D. Gillespie et al. Art Unit 1764 Examiner Name Not yet assigned Attorney Docket Number 106207C

U.S. PATENT DOCUMENTS NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT FILING DATE PUBL. DATE EXAMINER **SUBCLASS** CLASS DOCUMENT NUMBER INITIALS 585 482 3/25/80 5/82 Onodera et al. 4,331,822 9/15/83 502 71 4,485,185 11/84 Onodera et al. 585 482 10/17/88 2/90 Sachtler et al. 4,899,012 502 B/14/89 66 7/90 Sachtler et al. 4,939,110 304 12/11/95 5/98 Marella et al. 502 5,750,459 2/28/02 502 216 4/04 Furuta et al. 2004/0067845 FÓREIGN PATENT DOCUMENTS

DOCUMENT NUMBER DATE COUNTRY NAME CLASS INT'L CLASS YES NO

OTHER DOCUMENT'S (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER DA M DATE CONSIDERED 9/8/03

**2**004

Sheet <u>1</u> of <u>5</u>

	Complete If Known		
INFORMATION DIPOLOGUES	Application Number	10/804,358	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	March 19, 2004	
STATEMENT BY APPLICANT	First Named Inventor	Ralph D. Gillespie et al.	
(use as many sheets as necessary)	Art Unit	Not yet assigned	
(200 do many onesis do mosessary)	Examiner Name	Not yet assigned	
·	Attorney Docket Number	106207C	

#### THE FOLLOWING DOCUMENT IS SUBMITTED WITH THE FILING OF THIS APPLICATION:

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

1	$\sim$		
	1///		Robert A. Meyers, The Handbook of Petroleum Refining Processes, 1986, 5-49 through 5-51,
	<del>-///-</del>		Tiesert i Moyere, From Industrie Off Choledan Helling Frocesses, 1966, 5-49 through 5-51.

## THE FOLLOWING DOCUMENTS WERE SUBMITTED EITHER WITH PARENT APPLICATIONS 10/717.812 AND 10/718,050 BOTH FILED 11/20/03, OR 09/942,237 FILED 8/29/01.

#### **U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	PUBL DATE	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	CLASS	SUBCLASS	FILING DATE
m	2,939,896 B1	6/60	Myers	260	683.68	12/9/57
177	2,966,528	12/60	Haensel	260	666	11/8/57
M	2,985,589	5/61	Broughton et al.	210	34	5/22/57
M	3,040,777	6/62	Carson et al.	137	625.15	4/10/59
$\mathcal{D}$	3,175,444	3/65	Spinney	83	251	3/7/62
M	3,422,848	1/69	Liebman et al.	137	625.15	6/9/66

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	INT'L CLASS	1RANSLA YIZS	
2	_	JP61242641_A	1985	Japan	Kokai			Abstract only	
		EP 0 666 109 A1	1995	EPO	Umansky et al.	BO1J27/0 53		X	
		EP 0983967 A1	5/00	EPO	Gillesple				Х

OTHER DOCUMENTS (Including Author Title Date Pertinent Pages Sto.)

	OTTIER DOCUMENTS (IIIC	idding Admor, Title, Date, Perdnent Pages, Etc.)
	Graham v. John Deere Co	., 383 U.S. 1,148 USPQ 459 (1966)
	Ex parte Rubin (POBA 195	<del>i9) 128 U.S.P.Q.</del> 440
EXAMINER	MU	DATE CONSIDERED 7/4/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PAGE 4/13 \* RCVD AT 4/12/2004 11:10:47 AM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/5 \* DNIS:8729306 \* CSID:847 391 2387 \* DURATION (mm-ss):05-14

Ø 005

Sheet 2 of 5

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known						
Application Number	10/804,358					
Filing Date	March 19, 2004					
First Named Inventor	Ralph D. Gillespie et al.					
Art Unit	Not yet assigned					
Examiner Name	Not yet assigned					
Attorney Docket Number	106207C					

THE FOLLOWING DOCUMENTS WERE SUBMITTED EITHER WITH PARENT APPLICATIONS 10/717, 812 AND 10/718,050 BOTH FILED 11/20/03, OR 09/942,237 FILED 8/29/01.

**U.S. PATENT DOCUMENTS** 

EXAMINER INITIALS	DOCUMENT NUMBER	PUBL. Date	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	CLASS	SUBCLASS	FILING DATE	
$\mathcal{M}$	3,755,144	8/73	Asselin	208	95	0/13/71	
	3,915,845 B1	10/75	Antos	208	. 139	12/6/73	
	4,003,826	1/77	Antos	208	139	0/28/75	
	4,024,052	5/77	Antos	208	139	1/20/75	
	4,024,077	5/77	Engelhard et al.	252	442	7/31/75	
	4,061,724	12/77	Grose et al.	423	335	9/22/75	
	4,073,865	2/78	Flanigen et al.	423	339	9/27/76	
	4,087,381	5/78	Antos	252	441	1/12/77	
	4,310,440	1/82	Wilson et al.	252	435	7/7/80	
	4,440,871	4/84	Lok et al.	502	214	7/26/82	
	4,567,027	1/86	Detournay et al.	423	101	7/16/84	
	4,567,029	1/86	Wilson et al.	423	306	7/15/83	
	4,709,116	11/87	Zarchy et al.	585	738	6/30/86	
	4,709,117	11/87	Gray, Jr.	585	738	4/7/86	
	4,717,784	1/88	Stem et al.	585	738	12/10/86	
17)	4,758,419	7/88	Lok et al.	423	306	4/13/84	

**FOREIGN PATENT DOCUMENTS** 

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	SUBCLASS	TRANSL	ATION
								YES	NO
N/A	 	EP 1002579 A1	3/00	EPO	Marella et al.				X

OTHER DOCUMENTS (Including Author, Title, Date, Perlinent Pages, Etc.)

Cehn v. Comr. Pats. (DCDC 1966) 251 F Supp 378, 148 U.S.P.Q. 486.

In re Boe, 145 U.S.P.Q. 507 (CCPA 1966).

EXAMINER DATE CONSIDERED 7/8/0 7

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PAGE 5/13 \* RCVD AT 4/12/2004 11:10:47 AM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/5 \* DNIS:8729306 \* CSID:847 391 2387 \* DURATION (mm-ss):05-14

· 🛭 006

Shelet 3 of 5

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Co		
Application Number	10/804,358	
Filing Date	March 19, 2004	
First Named Inventor	Ralph D. Gillesple et al.	
Art Unit	Not yet assigned	
Examiner Name	Not yet assigned	
Attorney Docket Number	106207C	

#### THE FOLLOWING DOCUMENTS WERE SUBMITTED EITHER WITH PARENT APPLICATIONS 10/717.812 AND 10/718,050 BOTH FILED 11/20/03, OR 09/942,237 FILED 8/29/01.

**U.S. PATENT DOCUMENTS** 

EXAMINER INITIALS	DOCUMENT NUMBER	PUBL DATÉ	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
10)	4,804,802	2/89	Evans et al.	585	734	1/25/88
/	4,804,803	2/89	Schmidt et al.	585	748	12/7/87
	4,834,958	5/89	Zones	423	277	2/17/87
	4,909,116	3/90	Tanaka et al.	84	692	6/24/88
	4,918,041 B1	4/90	Hollstein et al.	502	217	9/21/88
	4,956,519 B1	9/90	Hollstein et al.	585	751	11/13/89
	5,019,671	5/91	Hsu et al.	585	751	7/10/89
	5,026,951	6/91	Schmidt et al.	585	738	1/9/90
	5,036,035 B1	7/91	Baba et al.	502	221	3/21/89
	5,036,085	7/91	Heinemann et al.	514	361	3/19/90
	5,107,052	4/92	McCulloch et al.	585	738	12/31/90
	5,120,898	6/92	Baba et al.	585	750	5/15/91
	5,146,035	9/92	Spehlmann et al.	585	667	12/24/90
Tà	5,146,037	9/92	Zarchy et al.	585	738	11/29/90

 FOREIGN PATENT DOCUMENTS								
	DOCUMENT NUMBER	DATE	<b>∞untry</b>	NAME	CLASS	SUBCLASS	"RANSL	
		-					YES	NO

	· · · · · · · · · · · · · · · · · · ·	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
UM		Patent Abstracts of Japan, Vol 011, No. 089 March 19, 1987 & JP 61 242641 A (Res Assoc Util of Light Oil), 28 October 1986 abstract.
NA		Database WP/ Section CH, Week 198649 Derwent Publications Ltd., London, GB Class H04, AN 1986-323907 XP002222499 & JP 61 242641 A (Keishitsu Ryubun Shinyoto), 28 October 1986 abstract.
EX	AMINER DANIMA	DATE CONSIDERED 7/8/0)

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## BEST AVAILABLE COPY

Sheet 4 of 5

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known			
Application Number	10/804,358		
Filing Date	March 19, 2004		
First Named Inventor	Ralph D. Gillespie et al.		
Art Unit	Not yet assigned		
Examiner Name	Not yet assigned		
Attorney Docket Number	106207C		

THE FOLLOWING DOCUMENTS WERE SUBMITTED EITHER WITH PARENT APPLICATIONS 10/717,812 AND 10/718,050 BOTH FILED 11/20/03, OR 09/942,237 FILED 8/29/01.

**U.S. PATENT DOCUMENTS** 

U.S. PATENT DOCUMENTS							
EXAMINER INITIALS	DOCUMENT NUMBER	PUBL DATE	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	CLASS	SUBCLASS	FILING DATE IF / PPROPRIATE	
12/	5,157,199	10/92	Soled et al.	585	750	4/1/91	
	5,182,247	1/93	Kuhlmann et al.	502	217	: <u>2/11/</u> 91	
	5,212,136 B1	5/93	Angstadt et al.	502	206_	11/27/91	
	5,214,017 B1	5/93	Angstadt et al.	502	204	11/27/91	
	5,310,868 B1	5/94	Angstadt et al.	585	721	12/17/92	
	5,321,197	6/94	Angstadt et al.	585	721	12/17/92	
	5,340,465	8/94	Gillespie et al.	208	191	10/14/93	
	5,360,534	11/94	Rice et al.	208	139	!5/24/93	
	5,491,278	2/96	Angstadt et al.	585	731	11/12/93	
	5,493,067 B1	2/96	Angstadt et al.	585	731	11/12/93	
	5,629,257	5/77	Umansky et al.	502	217	1/21/94	
	5,744,684	4/98	Zinnen et al.	585	737	6/18/96	
	5,762,887	6/98	Girod et al.	422	200	2/4/97	
	5,762,888	6/98	Sechrist	422	216	8/13/96	
$\mathcal{M}$	5,768,904	6/98	Tagamolila et al.	62	238.3	5/2/97	

·	POGUNICAT MUNICIPAL			TENT DOCUMEN	CLASS	FURCIASS	TIVANS	LATION
	DOCUMENT NUMBER	DATE	COUNTRY	BAME	CLASS	SUBCLASS	YES	мо Т
	OTHER	DOCUME	NTS (Including	Author, Title, Date	, Pertinent Pa	ges, Etc.)		
			/					
EXAMINER	DI	700	1	DATE CONSIDERED	7	18/0	<i>'</i>	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Ø 008

Sheet 5 of 5

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Co	mplete if Known	
Application Number	10/804,358	
Filing Date	March 19, 2004	
First Named Inventor	Ralph D. Gillesple et al.	
Art Unit	Not yet assigned	
Examiner Name	Not yet assigned	
Attorney Docket Number	106207C	

THE FOLLOWING DOCUMENTS WERE SUBMITTED EITHER WITH PARENT APPLICATIONS 10/717,812 AND 10/718,050 BOTH FILED 11/20/03, OR 09/942,237 FILED 8/29/01.

**U.S. PATENT DOCUMENTS** 

EXAMINER INITIALS	DOCUMENT NUMBER	PUBL. DATE	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
$\mathcal{D}$	5,780,383	7/98	Hollstein et al.	502	324	12/10/92
	5,786,294	7/98	Sachtler et al.	502	349	5/10/96
	5,802,870	9/98	Arnold et al.	62	480	5/2/97
	5,831,139	11/98	Schmidt et al.	585	315	3/26/97
	5,837,641	11/98	Gosling et al.	502	219	1/16/96
	5,862,060	1/99	Митау, Jr.	364	528.01	11/22/96
	6,180,556	1/01	Marella et al	502	217	3/11/99
	6,184,430 B1	2/01	Venkatesh et al.	585	750	12/5/96
	6,214,764	4/01	Gillespie	502	230	6/1/99
	6,320,089	11/01	Gillespie	585	744	1/9/01
	6,359,179	3/02	Nemeth et al.	568	387	12/22/99
	6,448,198	9/02	Szabo et al.	502	217	7/10/00
	6,495,733	12/02	Peratello et al.	585	743	7/15/99
	6,573,417 B1	6/03	Rice	585	738	11/5/01
	6,593,504	7/03	Bricker et al.	585	470	10/19/99
120	6,706,659 B2	3/04	Gillespie et al.	502	217	3/29/01

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER DATE COUNTRY NAME CLASS SUBCLASS TRANSLATION
YES NO

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER DATE CONSIDERED 7/8/01-								

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.